



RECEIVED

JUN 16 2003

#2

#23

Technology Center 2100

PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/478,156
				Filing Date	January 5, 2000
				First Named Inventor	Edward L. BAYIATES
				Art Unit	2172
Sheet	1	of	3	Examiner Name	Truong, Cam Y T
				Attorney Docket Number	NGM-00201

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
cy	A1	Declaration of Chang H. Kim in Support of Scientific Software, Inc's Opposition to Nugensis Technologies Corporation's Motion for Preliminary Injunction	<input type="checkbox"/>
cy	Exhibit A	Preliminary Amendment dated January 19, 2001	<input type="checkbox"/>
cy	Exhibit B	American Pharmaceutical Review - The Quarterly Review of American Pharmaceutical Business & Technology (Volume 3, Issue 2, Summer 2000)	<input type="checkbox"/>
cy	Exhibit C	Methods and Apparatus for Storing, Retrieving and Manipulating Data Produced by Different Windows 3.1, Windows 95 and Windows NT Application Programs by Mantra Software Corp., et al.	<input type="checkbox"/>
cy	Exhibit D	Affidavit of Ajit S. Nagral	<input type="checkbox"/>
cy	Exhibit E	Nugensis Technologies Corporation's Response to Defendant/Counterclaimant Scientific Software, Inc.'s First Set of Interrogatories dated November 12, 2001	<input type="checkbox"/>
cy	Exhibit F	Defendant/Counterclaimant Scientific Software, Inc.'s Supplemental Responses to Nugensis First Set of Interrogatories dated November 16, 2001	<input type="checkbox"/>
cy	Exhibit G	Functional Specification for LabTALK V1.0 by FITZ BUSH	<input type="checkbox"/>
cy	Exhibit I	Copy of postcard regarding application filed on February 4, 1998 entitled Methods and Apparatus for Storing, Retrieving and Manipulating Data Produced by Different Windows 3.1, Windows 95 and Windows NT Application Programs by NAGRAL, et al.	<input type="checkbox"/>

Examiner Signature		Date Considered	2/19/04
--------------------	--	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box E450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED

DEC 15 2003

GROUP 3600

RECEIVED

JUN 16 2003

Technology Center 2100

PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2

of

3

Complete if Known

Application Number	09/478,156
Filing Date	January 5, 2000
First Named Inventor	Edward L. BAYIATES
Art Unit	2172
Examiner Name	Truong, Cam Y T
Attorney Docket Number	NGM-00201

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
cy	Exhibit J	Letter to Mark J. Danielson, Esq. dated December 28, 2001 by Anastasia M. Fernands	<input type="checkbox"/>
cy	Exhibit K	Functional Specification for NuGenesis V1.1 dated June 9, 1998 by FITZ BUSH	<input type="checkbox"/>
cy	Exhibit L	Mantra Software Meeting Memo dated November 14, 1997 regarding new requirements for first release	<input type="checkbox"/>
cy	Exhibit M	San Jose Demo and Pfizer Demo dated 10/16/97	<input type="checkbox"/>
cy	Exhibit N	Purchase Order from Pfizer Inc. to Mantra Software Corp. dated December 16, 1997	<input type="checkbox"/>
cy	Exhibit O	Invoice from Mantra Software Corporation to Pfizer Inc. dated June 4, 1998	<input type="checkbox"/>
cy	Exhibit P	Mantra Software Employee Travel Report for week ended October 18, 1997 by Greg Murphy	<input type="checkbox"/>
cy	Exhibit Q	Letter to Anastasia M. Fernands, Esq. dated December 7, 2001 from Mark J. Danielson	<input type="checkbox"/>
cy	Exhibit R	Invoice from Mantra Software Corporation dated January 13, 1999 to Computerised Information Technology LTD	<input type="checkbox"/>
cy	Exhibit S	Request for Refund dated April 15, 1999	<input type="checkbox"/>

Examiner
Signature

Cammy

Date
Considered

2/19/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

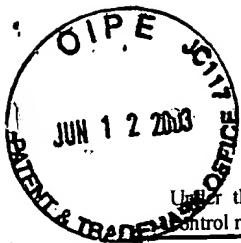
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED

DEC 15 2003

GROUP 3600



RECEIVED

JUN 16 2003

Technology Center-2100

PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	09/478,156		
		Filing Date	January 5, 2000		
		First Named Inventor	Edward L. BAYIATES		
		Art Unit	2172		
		Examiner Name	Truong, Cam Y T		
Sheet	3	of	3	Attorney Docket Number	NGM-00201

RECEIVED

DEC 15 2003

GROUP 360

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
cy	Exhibit T	Issue Fee Transmittal dated April 17, 2001	<input type="checkbox"/>
cy	Exhibit U	Nugenesis Technologies Customer Base Expands 50%	<input type="checkbox"/>
cy	Exhibit V	Quotation No. 53 from Mantra Software Corporation to Pfizer/Paul Aldridge dated November 24, 1997	<input type="checkbox"/>
cy	Exhibit W	Copy of Attorney Advisor (Melvin T. Axilbund) regarding abandonment dated March 30, 1999	<input type="checkbox"/>
cy	Exhibit X	U.S. Department of Commerce Patent and Trademark Office Fee Record Sheet regarding Trademark Application Serial No. 75390271 dated December 2, 1997	<input type="checkbox"/>
cy	Exhibit Y	Mantra Software Corporation Bay Area Investors Meeting Agenda, Silicon Valley Capital Club, San Jose, CA dated January 15, 1998	<input type="checkbox"/>
cy	Tab 1	Deposition of Michael E. McGuinness dated January 7, 2002, Volume 1, pages 1-220	<input type="checkbox"/>
cy	Tab 2	Deposition of Donald W. Muirhead dated December 17, 2001, Volume 1, pages 1-73	<input type="checkbox"/>
cy	Tab 3	Deposition of Ajit Nagral dated December 14, 2001, Volume 1, pages 1-176	<input type="checkbox"/>
cy	Tab 4	Deposition of Gregory P. Murphy, dated January 9, 2002, Volume 1, pages 1-89; Deposition of Fitzhugh Bush, III dated January 8, 2002, Volume 1, pages 1-168	<input type="checkbox"/>
cy	A2	Nugenesis Technologies Corporation's Response to Scientific Software, Inc.'s Counterclaims	<input type="checkbox"/>

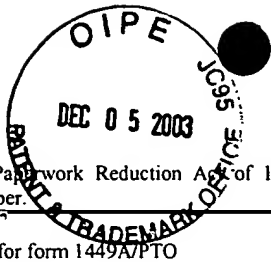
Examiner Signature	<i>Cammy</i>	Date Considered	2/19/04
--------------------	--------------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



24

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	09/478,156	
			Filing Date	January 5, 2000	
			First Named Inventor	Edward L. Bayiates	
			Art Unit	2172	
			Examiner Name	Cam Truong	
Sheet	1	of	2	Attorney Docket Number	NGM-00201

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
cy	AA	US-5,895,473	Apr. 20, 1999	Grant H. Williard, et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

RECEIVED

DEC 10 2003

Technology Center 2100

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
cy	AB	WO 99/40525	Aug. 12, 1999	Ajit Nagral, et al.		<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature	Cam Truong	Date Considered	2/19/04
-----------------------	------------	--------------------	---------

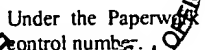
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DEC 12 2003

OFFICE OF PETITIONS



Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

RECEIVED

DFC 1 0 2003

Technology Center 2100

Carry my

Date
Considered

५१९/०४

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED

DEC 12 2003

OFFICE OF PETITIONS